

SYSTEMS OF LINEAR EQUATIONS: AUGMENTED MATRICES

In Section ?? we introduced Gaussian Elimination as a means of transforming a system of linear equations into triangular form with the ultimate goal of producing an equivalent system of linear equations which is easier to solve. If we take a step back and study the process, we see that all of our moves are determined entirely by the *coefficients* of the variables involved, and not the variables themselves. Much the same thing happened when we studied long division in Section ?. Just as we developed synthetic division to streamline that process, in this section, we introduce a similar bookkeeping device to help us solve systems of linear equations, the *matrix*.

A *matrix* as a rectangular array of real numbers. We typically enclose matrices with 'square brackets' of the likes of '[' and ']', and we size matrices by the number of rows and columns they have. For example, the *size* (sometimes called the *dimension*) of

$$\begin{bmatrix} 3 & 0 & -1 \\ 2 & -5 & 10 \end{bmatrix}$$

is 2×3 because it has 2 rows and 3 columns. The individual numbers in a matrix are called its *entries* and are usually labeled with double subscripts: the first tells which row the element is in and the second tells which column it is in. The rows are numbered from top to bottom and the columns are numbered from left to right. Matrices themselves are usually denoted by uppercase letters (A , B , C , etc.) while their entries are usually denoted by the corresponding letter. So, for instance, if we have

$$A = \begin{bmatrix} 3 & 0 & -1 \\ 2 & -5 & 10 \end{bmatrix}$$

then $a_{11} = 3$, $a_{12} = 0$, $a_{13} = -1$, $a_{21} = 2$, $a_{22} = -5$, and $a_{23} = 10$. We shall explore matrices as mathematical objects with their own algebra in Section ?? and introduce them here solely as a bookkeeping device. Consider the system of linear equations from number 2 in Example ??

$$\begin{cases} (E1) & 2x + 3y - z = 1 \\ (E2) & 10x - z = 2 \\ (E3) & 4x - 9y + 2z = 5 \end{cases}$$

We encode this system into a matrix by assigning each equation to a corresponding row. Within that row, each variable and the constant gets its own column, and to separate the variables on the left hand side of the equation from the constants on the right hand side, we use a vertical bar, |. Note that in $E2$, since y is not present, we record its coefficient as 0. The matrix associated with this system is

$$\begin{array}{l} (E1) \rightarrow \\ (E2) \rightarrow \\ (E3) \rightarrow \end{array} \begin{array}{c} x \quad y \quad z \quad c \\ \left[\begin{array}{ccc|c} 2 & 3 & -1 & 1 \\ 10 & 0 & -1 & 2 \\ 4 & -9 & 2 & 5 \end{array} \right] \end{array}$$

This matrix is called an *augmented matrix* because the column containing the constants is appended to the matrix containing the coefficients.¹ To solve this system, we can use the same kind operations on the *rows* of the matrix that we performed on the *equations* of the system. More specifically, we have the following analog of Theorem ?? below.

Theorem 1. Row Operations: Given an augmented matrix for a system of linear equations, the following row operations produce an augmented matrix which corresponds to an equivalent system of linear equations.

- Interchange any two rows.
- Replace a row with a nonzero multiple of itself.²

¹We shall study the coefficient and constant matrices separately in Section ??.

²That is, the row obtained by multiplying each entry in the row by the same nonzero number.

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- Replace a row with itself plus a nonzero multiple of another row.³

As a demonstration of the moves in Theorem ??, we revisit some of the steps that were used in solving the systems of linear equations in Example ?? of Section ?. The reader is encouraged to perform the indicated operations on the rows of the augmented matrix to see that the machinations are identical to what is done to the coefficients of the variables in the equations. We first see a demonstration of switching two rows using the first step of part 1 in Example ??.

$$\left\{ \begin{array}{lcl} (E1) & 3x - y + z & = 3 \\ (E2) & 2x - 4y + 3z & = 16 \\ (E3) & x - y + z & = 5 \end{array} \right. \xrightarrow{\text{Switch } E1 \text{ and } E3} \left\{ \begin{array}{lcl} (E1) & x - y + z & = 5 \\ (E2) & 2x - 4y + 3z & = 16 \\ (E3) & 3x - y + z & = 3 \end{array} \right.$$

$$\left[\begin{array}{ccc|c} 3 & -1 & 1 & 3 \\ 2 & -4 & 3 & 16 \\ 1 & -1 & 1 & 5 \end{array} \right] \xrightarrow{\text{Switch } R1 \text{ and } R3} \left[\begin{array}{ccc|c} 1 & -1 & 1 & 5 \\ 2 & -4 & 3 & 16 \\ 3 & -1 & 1 & 3 \end{array} \right]$$

Next, we have a demonstration of replacing a row with a nonzero multiple of itself using the first step of part 3 in Example ??.

$$\left\{ \begin{array}{lcl} (E1) & 3x_1 + x_2 + x_4 & = 6 \\ (E2) & 2x_1 + x_2 - x_3 & = 4 \\ (E3) & x_2 - 3x_3 - 2x_4 & = 0 \end{array} \right. \xrightarrow{\text{Replace } E1 \text{ with } \frac{1}{3}E1} \left\{ \begin{array}{lcl} (E1) & x_1 + \frac{1}{3}x_2 + \frac{1}{3}x_4 & = 2 \\ (E2) & 2x_1 + x_2 - x_3 & = 4 \\ (E3) & x_2 - 3x_3 - 2x_4 & = 0 \end{array} \right.$$

$$\left[\begin{array}{cccc|c} 3 & 1 & 0 & 1 & 6 \\ 2 & 1 & -1 & 0 & 4 \\ 0 & 1 & -3 & -2 & 0 \end{array} \right] \xrightarrow{\text{Replace } R1 \text{ with } \frac{1}{3}R1} \left[\begin{array}{cccc|c} 1 & \frac{1}{3} & 0 & \frac{1}{3} & 2 \\ 2 & 1 & -1 & 0 & 4 \\ 0 & 1 & -3 & -2 & 0 \end{array} \right]$$

Finally, we have an example of replacing a row with itself plus a multiple of another row using the second step from part 2 in Example ??.

$$\left\{ \begin{array}{lcl} (E1) & x + \frac{3}{2}y - \frac{1}{2}z & = \frac{1}{2} \\ (E2) & 10x - z & = 2 \\ (E3) & 4x - 9y + 2z & = 5 \end{array} \right. \xrightarrow[\text{Replace } E3 \text{ with } -4E1 + E3]{\text{Replace } E2 \text{ with } -10E1 + E2} \left\{ \begin{array}{lcl} (E1) & x + \frac{3}{2}y - \frac{1}{2}z & = \frac{1}{2} \\ (E2) & -15y + 4z & = -3 \\ (E3) & -15y + 4z & = 3 \end{array} \right.$$

$$\left[\begin{array}{ccc|c} 1 & \frac{3}{2} & -\frac{1}{2} & \frac{1}{2} \\ 10 & 0 & -1 & 2 \\ 4 & -9 & 2 & 5 \end{array} \right] \xrightarrow[\text{Replace } R3 \text{ with } -4R1 + R3]{\text{Replace } R2 \text{ with } -10R1 + R2} \left[\begin{array}{ccc|c} 1 & \frac{3}{2} & -\frac{1}{2} & \frac{1}{2} \\ 0 & -15 & 4 & -3 \\ 0 & -15 & 4 & 3 \end{array} \right]$$

The matrix equivalent of 'triangular form' is *row echelon form*. The reader is encouraged to refer to Definition ?? for comparison. Note that the analog of 'leading variable' of an equation is 'leading entry' of a row. Specifically, the first nonzero entry (if it exists) in a row is called the *leading entry* of that row.

Definition 1. A matrix is said to be in **row echelon form** provided all of the following conditions hold:

1. The first nonzero entry in each row is 1.
2. The leading 1 of a given row must be to the right of the leading 1 of the row above it.
3. Any row of all zeros cannot be placed above a row with nonzero entries.

³Where we add entries in corresponding columns.

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To solve a system of a linear equations using an augmented matrix, we encode the system into an augmented matrix and apply Gaussian Elimination to the rows to get the matrix into row-echelon form. We then decode the matrix and back substitute. The next example illustrates this nicely.

Example 1. Use an augmented matrix to transform the following system of linear equations into triangular form. Solve the system.

$$\begin{cases} 3x - y + z = 8 \\ x + 2y - z = 4 \\ 2x + 3y - 4z = 10 \end{cases}$$

Solution. We first encode the system into an augmented matrix.

$$\begin{cases} 3x - y + z = 8 \\ x + 2y - z = 4 \\ 2x + 3y - 4z = 10 \end{cases} \xrightarrow{\text{Encode into the matrix}} \left[\begin{array}{ccc|c} 3 & -1 & 1 & 8 \\ 1 & 2 & -1 & 4 \\ 2 & 3 & -4 & 10 \end{array} \right]$$

Thinking back to Gaussian Elimination at an equations level, our first order of business is to get x in $E1$ with a coefficient of 1. At the matrix level, this means getting a leading 1 in $R1$. This is in accordance with the first criteria in Definition ???. To that end, we interchange $R1$ and $R2$.

$$\left[\begin{array}{ccc|c} 3 & -1 & 1 & 8 \\ 1 & 2 & -1 & 4 \\ 2 & 3 & -4 & 10 \end{array} \right] \xrightarrow{\text{Switch } R1 \text{ and } R2} \left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 3 & -1 & 1 & 8 \\ 2 & 3 & -4 & 10 \end{array} \right]$$

Our next step is to eliminate the x 's from $E2$ and $E3$. From a matrix standpoint, this means we need 0's below the leading 1 in $R1$. This guarantees the leading 1 in $R2$ will be to the right of the leading 1 in $R1$ in accordance with the second requirement of Definition ???.

$$\left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 3 & -1 & 1 & 8 \\ 2 & 3 & -4 & 10 \end{array} \right] \xrightarrow[\text{Replace } R3 \text{ with } -2R1 + R3]{\text{Replace } R2 \text{ with } -3R1 + R2} \left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 0 & -7 & 4 & -4 \\ 0 & -1 & -2 & 2 \end{array} \right]$$

Now we repeat the above process for the variable y . That is, we need the leading entry in $R2$ to be 1.

$$\left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 0 & -7 & 4 & -4 \\ 0 & -1 & -2 & 2 \end{array} \right] \xrightarrow{\text{Replace } R2 \text{ with } -\frac{1}{7}R2} \left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 0 & 1 & -\frac{4}{7} & \frac{4}{7} \\ 0 & -1 & -2 & 2 \end{array} \right]$$

To ensure the leading 1 in $R3$ is to the right of the leading 1 in $R2$, we get a 0 in the second column of $R3$.

$$\left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 0 & 1 & -\frac{4}{7} & \frac{4}{7} \\ 0 & -1 & -2 & 2 \end{array} \right] \xrightarrow{\text{Replace } R3 \text{ with } R2 + R3} \left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 0 & 1 & -\frac{4}{7} & \frac{4}{7} \\ 0 & 0 & -\frac{18}{7} & \frac{18}{7} \end{array} \right]$$

Finally, we get the leading entry in $R3$ to be 1.

$$\left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 0 & 1 & -\frac{4}{7} & \frac{4}{7} \\ 0 & 0 & -\frac{18}{7} & \frac{18}{7} \end{array} \right] \xrightarrow{\text{Replace } R3 \text{ with } -\frac{7}{18}R3} \left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 0 & 1 & -\frac{4}{7} & \frac{4}{7} \\ 0 & 0 & 1 & -1 \end{array} \right]$$

Decoding from the matrix gives a system in triangular form

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$$\left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 0 & 1 & -\frac{4}{7} & \frac{4}{7} \\ 0 & 0 & 1 & -1 \end{array} \right] \xrightarrow{\text{Decode from the matrix}} \begin{cases} x + 2y - z = 4 \\ y - \frac{4}{7}z = \frac{4}{7} \\ z = -1 \end{cases}$$

We get $z = -1$, $y = \frac{4}{7}z + \frac{4}{7} = \frac{4}{7}(-1) + \frac{4}{7} = 0$ and $x = -2y + z + 4 = -2(0) + (-1) + 4 = 3$ for a final answer of $(3, 0, -1)$. We leave it to the reader to check. ■

As part of Gaussian Elimination, we used row operations to obtain 0's beneath each leading 1 to put the matrix into row echelon form. If we also require that 0's are the only numbers above a leading 1, we have what is known as the *reduced row echelon form* of the matrix.

Definition 2. A matrix is said to be in **reduced row echelon form** provided both of the following conditions hold:

1. The matrix is in row echelon form.
2. The leading 1s are the only nonzero entry in their respective columns.

Of what significance is the reduced row echelon form of a matrix? To illustrate, let's take the row echelon form from Example ?? and perform the necessary steps to put into reduced row echelon form. We start by using the leading 1 in R_3 to zero out the numbers in the rows above it.

$$\left[\begin{array}{ccc|c} 1 & 2 & -1 & 4 \\ 0 & 1 & -\frac{4}{7} & \frac{4}{7} \\ 0 & 0 & 1 & -1 \end{array} \right] \xrightarrow[\text{Replace } R_2 \text{ with } \frac{4}{7}R_3 + R_2]{\text{Replace } R_1 \text{ with } R_3 + R_1} \left[\begin{array}{ccc|c} 1 & 2 & 0 & 3 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & -1 \end{array} \right]$$

Finally, we take care of the 2 in R_1 above the leading 1 in R_2 .

$$\left[\begin{array}{ccc|c} 1 & 2 & 0 & 3 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & -1 \end{array} \right] \xrightarrow{\text{Replace } R_1 \text{ with } -2R_2 + R_1} \left[\begin{array}{ccc|c} 1 & 0 & 0 & 3 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & -1 \end{array} \right]$$

To our surprise and delight, when we decode this matrix, we obtain the solution instantly without having to deal with any back-substitution at all.

$$\left[\begin{array}{ccc|c} 1 & 0 & 0 & 3 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & -1 \end{array} \right] \xrightarrow{\text{Decode from the matrix}} \begin{cases} x = 3 \\ y = 0 \\ z = -1 \end{cases}$$

Note that in the previous discussion, we could have started with R_2 and used it to get a zero above its leading 1 and then done the same for the leading 1 in R_3 . By starting with R_3 , however, we get more zeros first, and the more zeros there are, the faster the remaining calculations will be.⁴ It is also worth noting that while a matrix has several⁵ row echelon forms, it has only one reduced row echelon form. The process by which we have put a matrix into reduced row echelon form is called *Gauss-Jordan Elimination*.

Example 2. Solve the following system using an augmented matrix. Use Gauss-Jordan Elimination to put the augmented matrix into reduced row echelon form.

$$\begin{cases} x_2 - 3x_1 + x_4 = 2 \\ 2x_1 + 4x_3 = 5 \\ 4x_2 - x_4 = 3 \end{cases}$$

⁴Carl also finds starting with R_3 to be more symmetric, in a purely poetic way.

⁵infinite, in fact

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Solution. We first encode the system into a matrix. (Pay attention to the subscripts!)

$$\begin{cases} x_2 - 3x_1 + x_4 = 2 \\ 2x_1 + 4x_3 = 5 \\ 4x_2 - x_4 = 3 \end{cases} \xrightarrow{\text{Encode into the matrix}} \left[\begin{array}{cccc|c} -3 & 1 & 0 & 1 & 2 \\ 2 & 0 & 4 & 0 & 5 \\ 0 & 4 & 0 & -1 & 3 \end{array} \right]$$

Next, we get a leading 1 in the first column of R_1 .

$$\left[\begin{array}{cccc|c} -3 & 1 & 0 & 1 & 2 \\ 2 & 0 & 4 & 0 & 5 \\ 0 & 4 & 0 & -1 & 3 \end{array} \right] \xrightarrow{\text{Replace } R_1 \text{ with } -\frac{1}{3}R_1} \left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 2 & 0 & 4 & 0 & 5 \\ 0 & 4 & 0 & -1 & 3 \end{array} \right]$$

Now we eliminate the nonzero entry below our leading 1.

$$\left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 2 & 0 & 4 & 0 & 5 \\ 0 & 4 & 0 & -1 & 3 \end{array} \right] \xrightarrow{\text{Replace } R_2 \text{ with } -2R_1 + R_2} \left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 0 & \frac{2}{3} & 4 & \frac{2}{3} & \frac{19}{3} \\ 0 & 4 & 0 & -1 & 3 \end{array} \right]$$

We proceed to get a leading 1 in R_2 .

$$\left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 0 & \frac{2}{3} & 4 & \frac{2}{3} & \frac{19}{3} \\ 0 & 4 & 0 & -1 & 3 \end{array} \right] \xrightarrow{\text{Replace } R_2 \text{ with } \frac{3}{2}R_2} \left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 0 & 1 & 6 & 1 & \frac{19}{2} \\ 0 & 4 & 0 & -1 & 3 \end{array} \right]$$

We now zero out the entry below the leading 1 in R_2 .

$$\left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 0 & 1 & 6 & 1 & \frac{19}{2} \\ 0 & 4 & 0 & -1 & 3 \end{array} \right] \xrightarrow{\text{Replace } R_3 \text{ with } -4R_2 + R_3} \left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 0 & 1 & 6 & 1 & \frac{19}{2} \\ 0 & 0 & -24 & -5 & -35 \end{array} \right]$$

Next, it's time for a leading 1 in R_3 .

$$\left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 0 & 1 & 6 & 1 & \frac{19}{2} \\ 0 & 0 & -24 & -5 & -35 \end{array} \right] \xrightarrow{\text{Replace } R_3 \text{ with } -\frac{1}{24}R_3} \left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 0 & 1 & 6 & 1 & \frac{19}{2} \\ 0 & 0 & 1 & \frac{5}{24} & \frac{35}{24} \end{array} \right]$$

The matrix is now in row echelon form. To get the reduced row echelon form, we start with the last leading 1 we produced and work to get 0's above it.

$$\left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 0 & 1 & 6 & 1 & \frac{19}{2} \\ 0 & 0 & 1 & \frac{5}{24} & \frac{35}{24} \end{array} \right] \xrightarrow{\text{Replace } R_2 \text{ with } -6R_3 + R_2} \left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 0 & 1 & 0 & -\frac{1}{4} & \frac{3}{4} \\ 0 & 0 & 1 & \frac{5}{24} & \frac{35}{24} \end{array} \right]$$

Lastly, we get a 0 above the leading 1 of R_2 .

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$$\left[\begin{array}{cccc|c} 1 & -\frac{1}{3} & 0 & -\frac{1}{3} & -\frac{2}{3} \\ 0 & 1 & 0 & -\frac{4}{5} & \frac{4}{35} \\ 0 & 0 & 1 & \frac{5}{24} & \frac{35}{24} \end{array} \right] \xrightarrow{\text{Replace } R1 \text{ with } \frac{1}{3}R2 + R1} \left[\begin{array}{cccc|c} 1 & 0 & 0 & -\frac{5}{12} & -\frac{5}{12} \\ 0 & 1 & 0 & -\frac{4}{5} & \frac{4}{35} \\ 0 & 0 & 1 & \frac{5}{24} & \frac{35}{24} \end{array} \right]$$

At last, we decode to get

$$\left[\begin{array}{cccc|c} 1 & 0 & 0 & -\frac{5}{12} & -\frac{5}{12} \\ 0 & 1 & 0 & -\frac{4}{5} & \frac{4}{35} \\ 0 & 0 & 1 & \frac{5}{24} & \frac{35}{24} \end{array} \right] \xrightarrow{\text{Decode from the matrix}} \begin{cases} x_1 - \frac{5}{12}x_4 = -\frac{5}{12} \\ x_2 - \frac{4}{5}x_4 = \frac{4}{35} \\ x_3 + \frac{5}{24}x_4 = \frac{35}{24} \end{cases}$$

We see x_4 is free and assign it the parameter t . We obtain $x_3 = -\frac{5}{24}t + \frac{35}{24}$, $x_2 = \frac{1}{4}t + \frac{3}{4}$, and $x_1 = \frac{5}{12}t - \frac{5}{12}$. Our solution is $\left\{ \left(\frac{5}{12}t - \frac{5}{12}, \frac{1}{4}t + \frac{3}{4}, -\frac{5}{24}t + \frac{35}{24}, t \right) : -\infty < t < \infty \right\}$ which we leave to the reader to check. ■

Like all good algorithms, putting a matrix in row echelon or reduced row echelon form can easily be programmed into a calculator, and, doubtless, your graphing calculator has such a feature. We make use of this feature in next example to avoid tedious arithmetic.

Example 3. Find the quadratic function passing through the points $(-1, 3)$, $(2, 4)$, $(5, -2)$.

Solution. According to Definition ??, a quadratic function has the form $f(x) = ax^2 + bx + c$ where $a \neq 0$. Our goal is to find a , b and c so that the three given points are on the graph of f .

Since $(-1, 3)$ is on the graph of f , we now $f(-1) = 3$. This gives $a(-1)^2 + b(-1) + c = 3$, or $a - b + c = 3$. This last equation is a linear equation with the variables a , b and c . Similarly, if the point $(2, 4)$ is on the graph of f , then $f(2) = 4$, so $4a + 2b + c = 4$. Lastly, the point $(5, -2)$ is on the graph of f gives us $25a + 5b + c = -2$. Putting these together, we obtain a system of three linear equations, which we encode into the matrix below.

$$\begin{cases} a - b + c = 3 \\ 4a + 2b + c = 4 \\ 25a + 5b + c = -2 \end{cases} \xrightarrow{\text{Encode into the matrix}} \left[\begin{array}{ccc|c} 1 & -1 & 1 & 3 \\ 4 & 2 & 1 & 4 \\ 25 & 5 & 1 & -2 \end{array} \right]$$

Using a calculator or graphing utility,⁶ we find $a = -\frac{7}{18}$, $b = \frac{13}{18}$ and $c = \frac{37}{9}$. Hence, the one and only quadratic which fits the bill is $f(x) = -\frac{7}{18}x^2 + \frac{13}{18}x + \frac{37}{9}$. To verify this analytically, we see that $f(-1) = 3$, $f(2) = 4$, and $f(5) = -2$. We can use the calculator to check our solution as well by plotting the three data points and the function f .

Desmos link: <https://www.desmos.com/calculator/avwtk7ckh9>

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⁶We've tortured you enough already with fractions in this exposition!